



# UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,216	09/04/2001	David Wilcox	36735-174429

CONFIRMATION NO. 2635

26694

VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP  
P.O. BOX 34385  
WASHINGTON, DC 20043-9998

## FORMALITIES LETTER



\*OC000000006842231\*

Date Mailed: 10/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/08/2001 BABRAHA1 00000005 09944216

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 0P

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

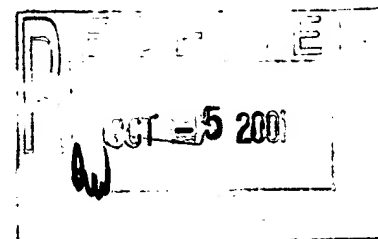
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED

CLIENT/MATTER # 36735 174429 ATTY RPA  
DUE DATE DEC 3, 2001  
FINAL DEADLINE MAY 3, 2002  
DKTED BY MDR JAN





**FILING RECEIPT**

**PLEASE DATE STAMP AND RETURN TO US - BOX 34385**

In re application of:

David WILCOX  
Loredo SOLA

Application No.: 09/944,216

Confirmation No. 2636

Filed: September 4, 2001

For: CONCEPTUAL CONTENT  
DELIVERY SYSTEM, METHOD  
AND COMPUTER PROGRAM  
PRODUCT

Art Unit: 2672

Examiner: (Unassigned)

Atty. Docket No.: 36735-174429

Customer No.:



**26694**

PATENT TRADEMARK OFFICE

**PAPER(S) FILED ENTITLED:**

1. Fee Transmittal
2. Recordation Form Cover Sheet  
*(w/copy of Assignment executed by David Wilcox)*
3. Response to Notice to File Missing Parts  
*(w/copy of Declaration & Power of Attorney)*
4. Check in the amount of \$105.00 (small entity status) for  
recordation of assignment [\$40] and late filing fee [\$65])

VENABLE  
1201 New York Avenue, N.W.  
Suite 1000  
Washington, D.C. 20005-3917  
Telephone: (202) 962-4800  
Facsimile: (202) 962-8300

DOCKET NO.: 36735-174429  
ATTORNEY/SEC: RPA/lpm

Date Filed: November 7, 2001

***Venable Filing No. 101019***

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 105

## Complete if Known

Application Number 09/944,216  
Filing Date September 4, 2001  
First Named Inventor David WILCOX, et al.  
Examiner Name Unassigned  
Group / Art Unit 2672  
Attorney Docket No. 36735-174429

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

22-0261

Deposit  
Account  
Name

VENABLE

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

### 2. EXTRA CLAIM FEES

Total Claims		** =	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims		** =	0	X		=	0
Multiple Dependent				X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$105)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) RALPH P. ALBRECHT Registration No. Attorney/Agent 43,466 Telephone (202) 216 8166  
Signature *Ralph P. Albrecht* Date November 7, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**VENABLE**  
ATTORNEYS AT LAW

PC Docs No. 329790v1

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David WILCOX  
Loredo SOLA

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PRODUCT



Art Unit: 2672

Examiner: (Unassigned)

Atty. Docket No.: 36735-174429

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PATENT TRADEMARK OFFICE

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to file Missing Parts of Non-Provisional Application mailed October 3, 2001 submitted herewith are:

- ☒ Executed Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63;
- ☒ Executed Assignment (for David Wilcox – dated October 25, 2001) with Recordation Form Coversheet; and
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application

**TOTAL FEE SUBMITTED: \$65.00 plus \$40.00 (recordation fee) = \$105.00**

If a greater or lesser fee is required, PTO is authorized to charge and/or credit Deposit Account No. 22-0261. For any further information, please contact me at the address listed below.

Respectfully submitted,

VENABLE, BAETJER, HOWARD & CIVILETTI, L.L.P.

Ralph P. Albrecht  
Attorney for Applicant  
Registration No. 43,466

Date Filed: November 7, 2001

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